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PTO/SB/21 (08-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

11

Application Number

10/086,758

Filing Date

February 28, 2002

First Named Inventor

Hendriksen, et al

Art Unit

1725

Examiner Name

C.A. Ildebrando

Attorney Docket Number

96B026/6

## ENCLOSURES (Check all that apply)



Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Response to Missing Parts/  
Incomplete ApplicationResponse to Missing Parts  
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a  
Provisional Application

Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_

Remarks

After Allowance communication  
to Technology Center (TC)Appeal Communication to Board  
of Appeals and InterferencesAppeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please  
Identify below):

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

EDWARD F. SHERER

Signature

Edward F. Sherer

Date

October 16, 2003

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

Edward F. Sherer

Signature

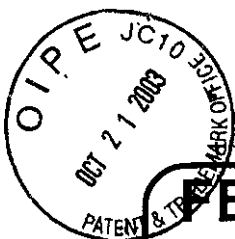
Edward F. Sherer

Date

October 16, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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OCT 21 2003

JC 1700

Approved for use through 07/31/2006. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# FREE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) \$220.00

## Complete if Known

|                      |                   |
|----------------------|-------------------|
| Application Number   | 10/086,758        |
| Filing Date          | February 28, 2002 |
| First Named Inventor | Hendriksen, et al |
| Examiner Name        | C.A. Ildebrando   |
| Art Unit             | 1725              |
| Attorney Docket No.  | 96B026/6          |

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number

05-1712

Deposit  
Account  
Name

ExxonMobil Chemical Co.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 1001         | 750      | 2001         | 375      | Utility filing fee     |          |
| 1002         | 330      | 2002         | 165      | Design filing          |          |
| 1003         | 520      | 2003         | 260      | Plant filing fee       |          |
| 1004         | 750      | 2004         | 375      | Reissue filing         |          |
| 1005         | 160      | 2005         | 80       | Provisional filing fee |          |
| SUBTOTAL (1) |          |              |          |                        | (\$)     |

### 2. EXTRA CLAIM FEES FOR UTILITY AND

| Total Claims       |  | Extra Claims |   | Fee from below |  | Fee Paid |
|--------------------|--|--------------|---|----------------|--|----------|
|                    |  |              |   |                |  |          |
|                    |  | -20** =      | 0 | X              |  | 0.00     |
| Independent Claims |  | -3** =       | 0 | X              |  | 0.00     |
| Multiple Dependent |  |              |   |                |  |          |

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid    |
|--------------|----------|--------------|----------|--|-------------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |             |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                                     |             |
| 1201         | 84       | 2201         | 42       | Independent claims in excess of 3                          |             |
| 1203         | 280      | 2203         | 140      | Multiple dependent claim, if not paid                      |             |
| 1204         | 84       | 2204         | 42       | ** Reissue independent claims over original patent         |             |
| 1205         | 18       | 2205         | 9        | ** Reissue claims in excess of 20 and over original patent |             |
| SUBTOTAL (2) |          |              |          |  | (\$) \$0.00 |

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity                                     |          | Small Entity |          | Fee Description  | Fee Paid |
|--|----------|--------------|----------|--|----------|
| Fee Code   | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1051   | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |          |
| 1052   | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053   | 130      | 1053         | 130      | Non - English specification  |          |
| 1812   | 2,520    | 1812         | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804   | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805   | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251   | 110      | 2251         | 55       | Extension for reply within first month                                     |          |
| 1252   | 410      | 2252         | 205      | Extension for reply within second month                                    |          |
| 1253   | 930      | 2253         | 465      | Extension for reply within third month                                     |          |
| 1254   | 1,450    | 2254         | 725      | Extension for reply within fourth month                                    |          |
| 1255   | 1,970    | 2255         | 985      | Extension for reply within fifth month                                     |          |
| 1401   | 320      | 2401         | 160      | Notice of Appeal   |          |
| 1402   | 320      | 2402         | 160      | Filing a brief in support of an appeal                                     |          |
| 1403   | 280      | 2403         | 140      | Request for oral hearing   |          |
| 1451   | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452   | 110      | 2452         | 55       | Petition to revive - unavoidable   |          |
| 1453   | 1,300    | 2453         | 650      | Petition to revive - unintentional   |          |
| 1501   | 1,300    | 2501         | 650      | Utility issue fee (or reissue)   |          |
| 1502   | 470      | 2502         | 235      | Design issue fee   |          |
| 1503   | 630      | 2503         | 315      | Plant issue fee  |          |
| 1460   | 130      | 1460         | 130      | Petitions to the Commissioner  |          |
| 1807   | 50       | 1807         | 50       | Processing fee under 37 CFR § 1.17(q)                                      |          |
| 1806   | 180      | 1806         | 180      | Submission of Information Disclosure Statement                             |          |
| 8021   | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809   | 750      | 2809         | 375      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1810   | 750      | 2810         | 375      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801   | 750      | 2801         | 375      | Request for Continued Examination (RCE)                                    |          |
| 1802   | 900      | 1802         | 900      | Request for expedited examination of a design application                  |          |
| Other fee (specify) Two (2) Terminal Disclaimers |          |              |          |  | 220.00   |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) \$220.00

## SUBMITTED BY

|           |                  |                                   |              |                          |                  |
|-----------|------------------|-----------------------------------|--------------|--------------------------|------------------|
| Name      | Edward F. Sherer | Registration No. (Attorney/Agent) | 29,588       | Complete (if applicable) |                  |
| Signature | Edward F. Sherer | Telephone                         | 281-834-5933 | Date                     | October 16, 2003 |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TC 1700



Appl No. : 10/086,758 Confirmation No.: 1202  
Applicant : HENDRIKSEN ET AL.  
Filed : February 28, 2002  
TC/A.U. : 1725  
Examiner : C. A. Ildebrando  
Docket No. : 96B026/6  
Title: Aromatic Conversion Processes  
and Zeolite Catalyst Useful Therein

**AMENDMENT AND RESPONSE UNDER 37 C.F.R. 1.111**

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Edward F. Sherer

Typed or printed name of person signing this certificate

Signature

In response to the Official Action of July 16, 2003, please amend the above-identified application as follows:

**Amendments to specification** begin on page 2 of this paper.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 3 of this paper.

**Remarks/Arguments** begin on page 5 of this paper.